

An Oriented Handcuff Rotaxane

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ABSTRACT



The first example of an oriented handcuff rotaxane has been obtained by *through-the-annulus* threading of a double-calix[6]arene system with a bis-ammonium axle. The relative orientation of the two calix-wheels can be predefined by exploiting the “*endo*-alkyl rule” which controls the directionality of the threading of alkylbenzylammonium axles with calixarene macrocycles.

Macrocyclic hosts with multiple cavities and/or multiple recognition sites have attracted increasing attention thanks to their usefulness for developing nontrivial interlocked architectures.¹ In this regard, interpenetrated systems in which two rings are linked to one another in a handcuff-like fashion have represented a significant synthetic challenge.² Based on the template-directed threading^{1,3} of linear axles through such double-macrocycles, beautiful handcuff-like architectures have been reported to date, which shows interesting properties and functions.

The first example dates back to 1993 when Stoddart et al.^{4a} reported the handcuff architecture **A** (Figure 1), which was followed by similar [2]catenane polymers **B**.^{4b} Successively, Becher⁵ reported an example of architecture **C**, in which the two connected rings are threaded through the same large ring to form a handcuff [2]catenane. A similar topology

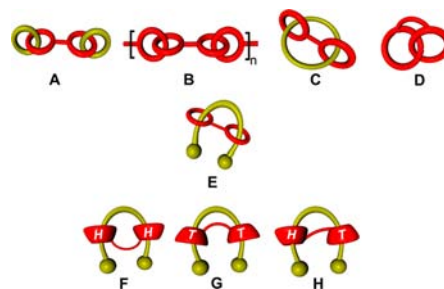


Figure 1. Schematic representation of the currently known prototypical examples of handcuff-derived architectures (**A–E**) and the proposed stereoisomeric oriented handcuff (pseudo)-[2]rotaxanes (**F–H**).

was built in 2005 by Sauvage,^{6,2b} through the template effect of Cu(I), and more recently by Beer,⁷ through the templation of the chloride anion. In 2000 Vögtle et al.⁸ reported a molecular “pretzelane” **D** in which the two connected rings are mutually interpenetrated to give a [1]catenane.

Regarding rotaxane architectures such as **E** (Figure 1), only very recently an example has been reported^{2a} in which two flat crown-rings, rigidly linked to one another, were

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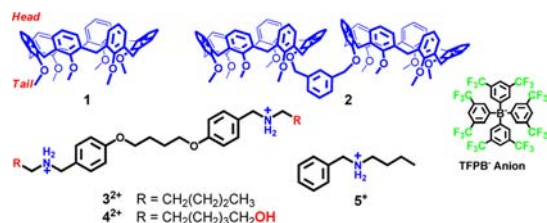


Figure 2. Structures of calix[6]arene wheels **1** and **2**, bis(alkylbenzylammonium) axles **3**²⁺ and **4**²⁺, TFPB anion, and alkylbenzylammonium axle **5**⁺.

threaded with a bis-ammonium axle to mimic a double-leg elevator. To the best of our knowledge, this is the only example of handcuff rotaxane so far reported.

With respect to the use of flat macrocycles in **E** (Figure 1), a further synthetic challenge is represented by the use of three-dimensional nonsymmetrical rings (directional wheels), such as in double-calixarene **2** (Figure 2),⁹ because of the inherent difficulty in controlling their relative orientation within the entire system.¹⁰ In fact, the threading of **2** with a bis-ammonium axle (e.g., **3**²⁺) could give rise to three stereoisomeric handcuff pseudo[2]rotaxane structures, in which the two calix-wheels could show three different relative orientations, *head-to-head* (*H,H*), *tail-to-tail* (*T,T*), and *head-to-tail* (*H,T*), respectively represented by **F–H** in Figure 1. Based on these considerations, the following questions arise: which stereochemistry (**F**, **G**, or **H**) of the handcuff pseudo[2]rotaxane formed between **2** and thread **3**²⁺ or **4**²⁺ can be expected? Are we able to deliberately form only one of them?

Recently,¹¹ we have shown that the threading of a directional alkylbenzylammonium cation (e.g., **5**⁺, Figure 2) by a calix[6]arene (e.g., **1**) leads to a preference for the *endo*-alkyl stereoisomer **6**⁺ over the *endo*-benzyl one (Figure 3).¹² Successively, we showed that by encoding the appropriate alkylbenzyl sequence along bis-ammonium axles it was possible to obtain a specific stereosequence (e.g., *H,H* or *H,T*, Figure 3) of the two calix-wheels in pseudo[3]rotaxane architectures **7**²⁺ and **8**²⁺.¹⁰ Analogously, the stereoprogrammed synthesis of a calix[2]catenane orientational isomer **9**⁺ was obtained by macrocyclization, upon using a directional alkylbenzylammonium axle.¹³

From these studies we have generalized the following stereochemical “*endo*-alkyl rule” (Figure 3): threading of a directional alkylbenzylammonium axle (e.g., **5**²⁺) through

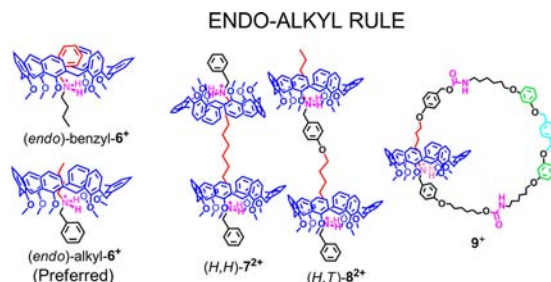
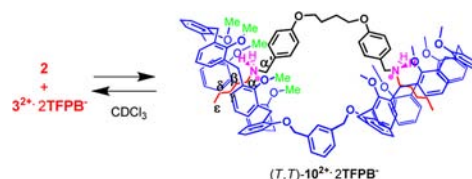


Figure 3. The “*endo*-alkyl rule”¹⁴ and some related examples of oriented interpenetrated architectures reported.^{10,13}

Scheme 1. Handcuff Threading of **2** with **3**²⁺



a non-*tert*-butylated hexaalkoxycalix[6]arene (e.g., **1**) occurs with an *endo*-alkyl preference.¹⁴

On the basis of this “*endo*-alkyl rule”,¹⁴ we envisioned that the appropriate encoding of a sequence of alkylbenzylammonium binding sites along a bis-ammonium thread could allow the stereoprogrammed preparation of a given oriental isomer **F**, **G**, or **H** of a calixarene-based handcuff pseudorotaxane.

Naturally, the presence of the short *m*-xylylene spacer between the two wheels in **2** could favor a different anomalous stereochemistry with respect to that predicted by the “*endo*-alkyl rule”. Prompted by these considerations, we decided to study the threading properties of double-calix[6]arene¹⁵ **2** with bis-ammonium axles **3**²⁺ and **4**²⁺ and we wish to report here the results of our studies.

As a first step we decided to use thread **3**²⁺ in which two alkylbenzylammonium moieties are connected by the benzyl ends to expose the alkyl chains at the terminations (Figure 2). On the basis of the above *endo*-alkyl rule, the threading of **2** with **3**²⁺ should result in a *tail-to-tail* oriented handcuff pseudo[2]rotaxane such as **G**. To verify this prediction, the TFPB¹⁶ salt of **3**²⁺ was equilibrated

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(16) As reported by us,^{11,12} the *through-the-annulus* threading of scarcely efficient calix[6–8]arene hosts with dialkylammonium axles can only be obtained through the inducing effect of the superweak anion TFPB (Tetrakis[3,5-bis(triFluoromethyl)Phenyl]Borate). For a review on superweak anion TFPB⁻, see: (a) Strauss, S. H. *Chem. Rev.* **1993**, *93*, 927. For recent examples on the use of the TFPB superweak anion in supramolecular chemistry, see: (b) Pierro, T.; Gaeta, C.; Talotta, C.; Casapullo, A.; Neri, P. *Org. Lett.* **2011**, *13*, 2650. (c) Li, C.; Shu, X.; Li, J.; Fan, J.; Chen, Z.; Weng, L.; Jia, X. *Org. Lett.* **2012**, *14*, 4126. (d) Gaeta, C.; Talotta, C.; Farina, F.; Camalli, M.; Campi, G.; Neri, P. *Chem.—Eur. J.* **2012**, *18*, 1219. (e) Gaeta, C.; Talotta, C.; Farina, F.; Teixeira, F. A.; Marcos, P. A.; Ascenso, J. R.; Neri, P. *J. Org. Chem.* **2012**, *77*, 10285.

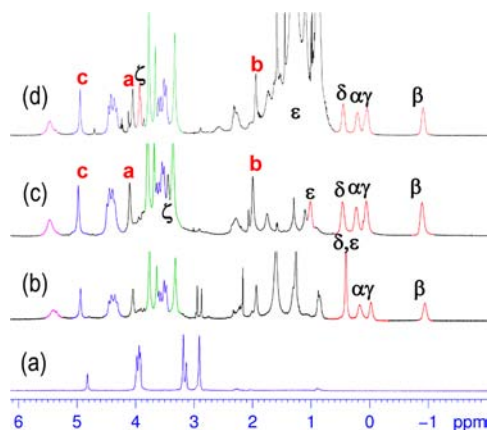


Figure 4. Portions of the ^1H NMR spectra (400 MHz, CDCl_3 , 298 K) of (a) **2**, (b) an equimolar mixture (3 mM) of **2** and $3^{2+}\cdot 2\text{TFPB}^-$, (c) an equimolar mixture (3 mM) of **2** and $4^{2+}\cdot 2\text{TFPB}^-$, and (d) handcuff [2]rotaxane $(T,T)\text{-}10^{2+}$.

with double-calix[6]arene **2**¹⁷ (Scheme 1) and the resulting solution was investigated by 1D and 2D NMR spectroscopy and ESI(+) mass spectrometry.

In particular, the ^1H NMR spectrum (CDCl_3 , 400 MHz, 298 K) of the 1:1 mixture (Figure 4b) showed a typical signature at highfield negative values (from 1.0 to -1.0 ppm) characteristic of an *endo*-complexation of the alkyl chains shielded by calixarene aromatic rings and indicative of the formation of pseudorotaxane $(T,T)\text{-}10^{2+}$. This result and the absence of shielded benzylic resonances in the 4–6 ppm region, typical of *endo*-benzyl complexation,^{10–14} were a clear-cut proof that the *tail-to-tail* isomer **G** of handcuff-pseudo[2]rotaxane $(T,T)\text{-}10^{2+}$ (Scheme 1) had been stereoselectively formed. An apparent total association constant (K_{tot}) of $1.6 \pm 0.3 \times 10^3 \text{ M}^{-1}$ in CDCl_3 (percentage of formation 58%) was determined for $(T,T)\text{-}10^{2+}$ by integration of the slowly exchanging ^1H NMR signals. These results show that the presence of the short *m*-xylylene spacer between the two calix-wheels of **2** does not generate any anomalous stereopreference with respect to the *endo*-alkyl rule.

Certainly, the presence of the typical highfield signature in Figure 4b could also be compatible with a cyclic or square-type¹⁸ supramolecular architecture **I** or an undefined supramolecular oligomer or polymer **J** (see ref 18). The formation of handcuff pseudo[2]rotaxane 10^{2+} was unequivocally confirmed by the base peak at m/z 979.83 in

(17) See Supporting Information for further details.

(18) Structures **I** and **J**.

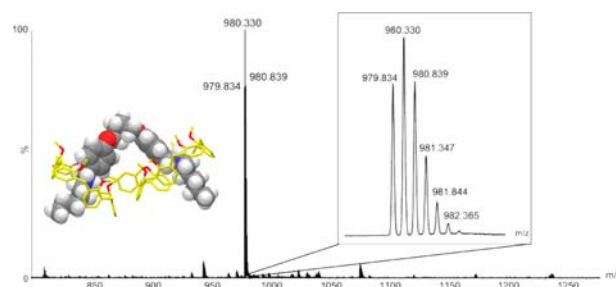
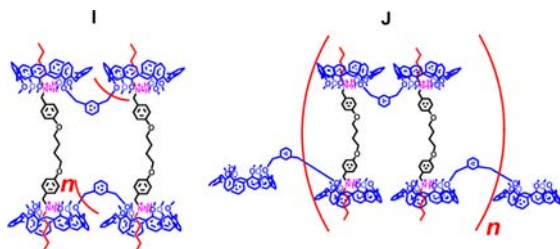


Figure 5. ESI(+) mass spectrum of $(T,T)\text{-}10^{2+}$ and its AMBER energy-minimized structure (inset).

the ESI(+) mass spectrum (Figure 5). In fact, the Δ spacing of 0.5 m/z between the peaks in the isotopic envelop (Figure 5, inset) solely accounts for a doubly charged species of **10**, excluding architectures with higher charges such as **I** or **J** represented in ref 18.

A COSY-45 spectrum¹⁷ (CDCl_3 , 400 MHz, 298 K) of the 1:1 mixture of thread 3^{2+} and double-calix[6]arene **2** allowed a complete confident assignment of all shielded alkyl resonances. Thus, α -protons at 0.17 ppm show a coupling with the β -methylene group at -0.96 ppm, which presents a cross-peak with γ -protons at -0.03 ppm, finally coupled with δ CH_2 at 0.39 ppm, which was coupled with ϵ -protons at 0.40 ppm. In addition, the ArCH_2Ar region (3–5 ppm) revealed the presence of three AX systems¹⁹ at 4.43/3.58, 4.38/3.49, and 4.31/3.49 ppm relative to three ArCH_2Ar groups. On the other hand, the ^1H NMR spectrum showed three singlets in a 2:1:2 ratio at 3.77, 3.63, and 3.33 ppm relative to OMe groups and a singlet at 4.95 ppm relative to OCH_2 groups of the *m*-xylylene bridge. These data indicate the presence of a symmetry plane bisecting the *m*-xylylene bridge and the 1,4-diphenoxybutane chain, which is perfectly compatible with a handcuff threading of **2**.

Molecular mechanics calculations revealed that a folding of the thread 3^{2+} is required to simultaneously thread the two calixarene-wheels of **2**. Thus, the folded conformation adopted by 3^{2+} in handcuff pseudo[2]rotaxane $(T,T)\text{-}10^{2+}$ was characterized by unfavorable gauche conformations around C(1)–C(2) and C(2)–C(3) bonds (dihedral angles of 45° and 55° , respectively, Figure 6) of the central 1,4-diphenoxybutane fragment.¹⁷ Simulated Annealing (SA) experiments¹⁷ clearly evidenced that $\sim 75\%$ of the coconformers in the 4 kcal/mol lowest energy window (200 independent annealing experiments) showed a dihedral angle in the 42° – 87° range around the C(1)–C(2) bond. Analogously, $\sim 85\%$ of the same structures gave a C(2)–C(3) dihedral angle in the 50° – 75° range (Figure S15). This situation

(19) The presence of well-defined AX systems for ArCH_2Ar groups in the COSY-45 spectrum is a clear indication that the thread $3a^{2+}$ gave a handcuff-through-the-annulus-threading with double-calix[6]arene **2** in CDCl_3 . In fact, the ArCH_2Ar protons appear as singlets for the conformationally mobile free host **2** (see ^1H NMR spectrum of **2** in Figure 3a), whereas each of them gives rise to a couple of doublets (AX system) when the wheel is conformationally blocked by pseudorotaxane formation.

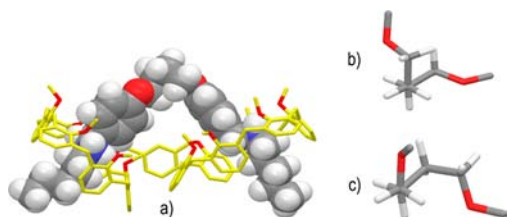


Figure 6. (a) Lowest energy structure of handcuff pseudo[2]rotaxane (*T,T*)-**10**²⁺ found by Monte Carlo search associated with Simulated Annealing experiments (MacroModel V. 9.0, AMBER force field). (b and c) Newman projections along the C(2)–C(3) and C(1)–C(2) bonds, respectively, showing the unfavorable gauche conformations.

resembles those observed by Rebek²⁰ in the encapsulation of long alkanes in a coiled form inside a self-assembled capsule and recently by us^{16c} in the *endo*-complexation of large di-*n*-alkylammonium cations inside the narrow cavity of the 18-membered dihomooxacalix[4]arene ring. In analogy to those complexes, in the present case the energy loss due to the unfavorable folding of the thread is counterbalanced by the gain in H-bonding energy due to the double threading of the two calix[6] cavities.

In order to permanently trap the above handcuff [2]rotaxane architecture, we decided to investigate the threading of **2** with axle **4**²⁺ encoding again the external alkyl chains, which are now terminated by two easily stopperable OH groups (Scheme 2). Therefore, 1 equiv of the TFPB salt of **4**²⁺ was equilibrated with 1 equiv of double-calix[6]arene **2** in CDCl₃ to give handcuff pseudo[2]rotaxane (*T,T*)-**11**²⁺ (Scheme 2). The ¹H NMR spectrum of this mixture (Figure 4c) showed again the presence of shielded alkyl resonances at negative values typical of *endo*-alkyl complexation and confirming the exclusive formation of *tail-to-tail* handcuff pseudo[2]rotaxane (*T,T*)-**11**²⁺. Thus, the validity of the above *endo*-alkyl rule was again confirmed. An apparent total association constant (*K*_{tot}) of $1.7 \pm 0.3 \times 10^5 \text{ M}^{-1}$ in CDCl₃ (percentage of formation 84%) was determined for (*T,T*)-**11**²⁺ by integration of the slowly exchanging ¹H NMR signals. Pseudorotaxane (*T,T*)-**11**²⁺ was then stoppered by a reaction with 4-tritylphenyl isocyanate to give the first example of predefined orientational handcuff [2]rotaxane (*T,T*)-**12**²⁺ in 28% yield (Scheme 2). Its formation was confirmed by a prominent peak at 1370.1 *m/z* in the ESI(+) mass spectrum (Figure 7), corresponding to the doubly charged interlocked ion. As above, a combined 1D and 2D NMR study confirmed the *tail-to-tail* stereosequence of the two calix-wheels in handcuff [2]rotaxane (*T,T*)-**12**²⁺. Also in this case molecular mechanics calculations (AMBER force field, CHCl₃, GB/SA model solvent) indicated a folded conformation of the thread (Figure 6).

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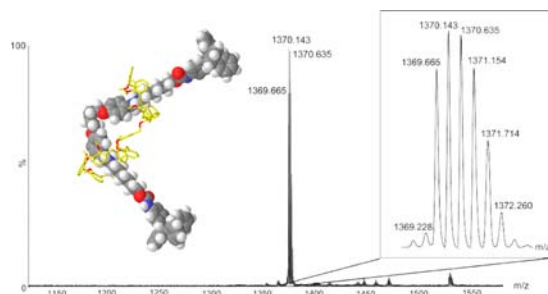
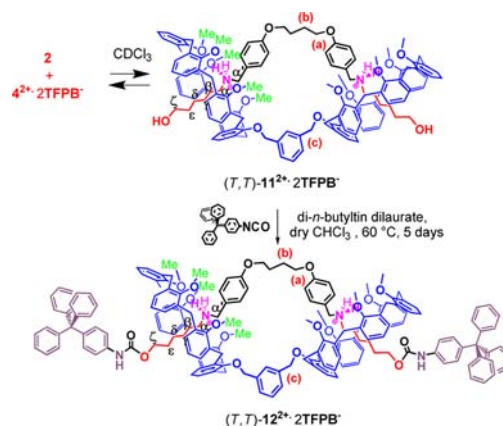


Figure 7. ESI(+) mass spectrum of (*T,T*)-**12**²⁺ and its AMBER energy-minimized structure (inset).

Scheme 2. Synthesis of Handcuff [2]Rotaxane (*T,T*)-**12**²⁺



Analogously to pseudorotaxane (*T,T*)-**10**²⁺, SA experiments clearly evidenced that ~65% of the coconformers in the 4 kcal/mol lowest energy window (200 independent experiments) showed a dihedral angle in the 45°–70° range around the C(1)–C(2) bond (Figure S16). Analogously, ~50% of the same structures gave a C(2)–C(3) dihedral angle in the 59°–84° range (Figure S16).

In conclusion, we have reported here the first examples of calixarene-based handcuff pseudorotaxane and rotaxane architectures. In addition, we have showed the possibility to control the threading directionality by exploiting the “*endo*-alkyl rule” to give a predefined orientational isomer. Therefore, it is conceivable that the extension of this approach could lead to novel mechanically interlocked architectures with high-order topologies.

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Supporting Information Available. Synthetic details, 1D and 2D NMR spectra, and details on molecular modeling. This material is available free of charge via the Internet at <http://pubs.acs.org>.

The authors declare no competing financial interest.